

Introductory sub-table (I)(a)

Name of the Company: PRABHAT SECURITIES LIMITED

Scrip Code, Name of the scrip, class of security: Equity Shares

Quarter ended: 01/10/2013 - 31/12/2013

Partly paid-up shares:- No. of partly paid-up shares paid-up shares As a % of total no. of partly paid-up shares As a % of total no. of shares of the company

Held by promoter/promoter group				
Held by public				
Total - D	0			0

Outstanding convertible securities:- No. of outstanding securities As a % of total no. of outstanding convertible securities As a % of total no. of shares of the company, assuming full conversion of the convertible securities

Held by promoter/promoter group				
Held by public				
Total - E	0			0

Warrants:- No. of warrants As a % of total no. of warrants As a % of total no. of shares of the company, assuming full conversion of warrants

Held by promoter/promoter group				
Held by public				
Total - F	0			0

TOTAL PAID-UP CAPITAL OF THE COMPANY, ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES

10000070

100



Statement Showing Shareholding Pattern

Category Shareholder code	Category of Shareholder	Number of Shares	Table (I)(a)					Shares Pledged or otherwise encumbered
			Total number of shares	Number of shares held in dematerialized form	As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)-(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter							
1	Indian							
(a)	Individuals/ Hindu Undivided Family							
(b)	Central Government/ State Government(s)	12	403000	0	40.30	40.30	0	0.00
(c)	Bodies Corporate				0.00	0.00		
(d)	Financial Institutions/ Banks	3	212000	0	21.20	21.20		
(e)	Any Others(Specify)				0.00	0.00		
(e-i)					0.00	0.00		
(e-ii)					0.00	0.00		
	Sub Total(A)(1)	15	615000	0	61.50	61.50	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00		
b	Bodies Corporate				0.00	0.00		
c	Institutions				0.00	0.00		
d	Any Others(Specify)				0.00	0.00		
d-i					0.00	0.00		
d-ii					0.00	0.00		
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and	15	615000	0	61.50	61.50	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI				0.00	0.00		
(b)	Financial Institutions/ Banks				0.00	0.00		



Sr.No	Notes
1	
2	
3	
4	
5	
6	
7	
8	
9	



(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage $(M)-(N)/(M)*100$	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class		
(i)	(ii)	(iii)	(iv)	(v)	(vi) = (v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	RITIKA SHARMA	39000	3.90		0.00	0.00					
2	RAJESH SHARMA	39000	3.90		0.00	0.00					
3	ANIL KUMAR SHARMA	20000	2.00		0.00	0.00					
4	ASHOK KUMAR SHARMA	49500	4.95		0.00	0.00					
5	VIMAL KUMAR SHARMA	100000	10.00		0.00	0.00					
6	VIMAL KUMAR SHARMA HUF	20000	2.00		0.00	0.00					
7	G. L. SHARMA HUF	20000	2.00		0.00	0.00					
8	G. L. SHARMA	15000	1.50		0.00	0.00					
9	SANTOSH DEVI SHARMA	15000	1.50		0.00	0.00					
10	NIDHI SHARMA	20000	2.00		0.00	0.00					
11	RADHIKA SHARMA	15000	1.50		0.00	0.00					
12	KALJ CHARAN SHARMA	50500	5.05		0.00	0.00					
13	SANTOSH HOLDINGS PVT LTD	100000	10.00		0.00	0.00					
14	PRABHAT FUNDS AND HOLDING PVT LTD	100000	10.00		0.00	0.00					
15	PRABHAT FINANCIAL SERVICES LTD	12000	1.20		0.00	0.00					
TOTAL		615000	61.50	0	0.00	0.00	0	0	0	0	0

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00

